SUSPICIOUS TRANSACTION REPORT FOR INVESTMENT SCHEMES

THE OBLIGATION TO REPORT SUSPICIOUS TRANSACTIONS ARISES FROM SECTION 12 OF THE MONEY LAUNDERING AND FINANCING OF TERRORISM ACT,2011

PART A - REPORTING ENTITY DETAILS

1. Reporting Entity Name: ____
2. Organisation reference number: _____________________________________
3. Physical Address: ____
4. Email address: ____
5. Branch offices where activity occurred_________________________

PART B - SUBJECT OF REPORT

This section refers to the person whose behaviour is thought to be suspicious. This person can either be an individual or a company, please note that this section is separated accordingly.

Have you ever made a report on this subject before? _________________________

If yes, reference: _________________________

INDIVIDUAL

1. Surname: ____
2. Full Names: ____
3. Nationality: ____
4. Date of Birth: (DD/MM/YYYY) ___/___/______
5. Gender: _________________________
6. Profession/Occupation: ____
7. Identity Document Type: _________________________

   Identification Number: ____

   Passport number: ____

   Passport expiration date: ____
8. Residential Address

   Property Number and Street Name: _________________________________

Residential Area: ____

City/Town/Village and Chief: ______________________________________

Country: ____

9. Postal address: ____

10. Contact details:

Telephone: _______________________

Mobile: _______________________

Email: _______________________

COMPANY

1. Name: ____
2. Country of Registration: ____
3. Date of Registration: ____
4. Registration Number: ____
5. Nature of Business: ________________________________
6. Physical address

Property Number and Street Name: ______________________________

Residential Area: ____

City/Town/Village and Chief: ______________________________________

Country: ____

PART C - PRODUCT AND TRANSACTION INFORMATION

1. Account Number: ____
2. Products bought by the customer: _____________________________________

   ____

   ____
3. Date investment started: DD/MM/YYYY- ___/___/_______

Financial Summary Details

1. Date of transaction: _______________________________
2. Transaction Type: ____________________
3. Value: ____
4. Bank account number: ____

PART D - REASON FOR SUSPICION

Please describe clearly and completely, the factors or unusual circumstances that led to the suspicion.

PART E - SCHEDULE OF ATTACHMENTS

Please list and attach all relevant documentation, including KYC documents, transaction records and anything else you may deem helpful for investigation purposes.

No.	**Document title**
1.	
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10.	

ALL SUSPICIOUS TRANSACTIONS ARE TO BE TREATED AS CONFIDENTIAL

Completed forms should be addressed to: THE DIRECTOR and sent to the SWAZILAND FINANCIAL INTELLIGENCE UNIT 6TH FLOOR CORPORATE PLACE, DR SISHAYI STREET, MBABANE

Signature: ____________________ Date: ____________________

END OF REPORT

FOR OFFICIAL USE ONLY

STR reference number: ____________________

Date: ____________________