



## SUSPICIOUS TRANSACTION REPORT FOR INVESTMENT SCHEMES

THE OBLIGATION TO REPORT SUSPICIOUS TRANSACTIONS ARISES FROM SECTION 12 OF  
THE MONEY LAUNDERING AND FINANCING OF TERRORISM ACT, 2011

### **PART A - REPORTING ENTITY DETAILS**

1. Reporting Entity Name: \_\_\_\_\_
2. Organisation reference number: \_\_\_\_\_
3. Physical Address: \_\_\_\_\_
4. Email address: \_\_\_\_\_
5. Branch offices where activity occurred \_\_\_\_\_

### **PART B - SUBJECT OF REPORT**

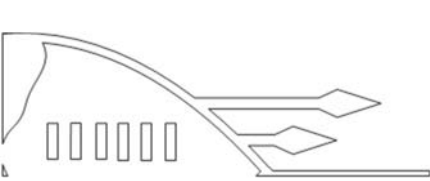
This section refers to the person whose behaviour is thought to be suspicious. This person can either be an individual or a company, please note that this section is separated accordingly.

**Have you ever made a report on this subject before?** \_\_\_\_\_

**If yes, reference:** \_\_\_\_\_

### **INDIVIDUAL**

1. Surname: \_\_\_\_\_
2. Full Names: \_\_\_\_\_
3. Nationality: \_\_\_\_\_
4. Date of Birth: (DD/MM/YYYY)      \_\_\_\_/\_\_\_\_/\_\_\_\_
5. Gender: \_\_\_\_\_
6. Profession/Occupation: \_\_\_\_\_
7. Identity Document Type: \_\_\_\_\_  
    Identification Number: \_\_\_\_\_  
    Passport number: \_\_\_\_\_  
    Passport expiration date: \_\_\_\_\_
8. Residential Address  
    Property Number and Street Name: \_\_\_\_\_





Residential Area: \_\_\_\_\_

City/Town/Village and Chief: \_\_\_\_\_

Country: \_\_\_\_\_

9. Postal address: \_\_\_\_\_

10. Contact details:

Telephone: \_\_\_\_\_

Mobile: \_\_\_\_\_

Email: \_\_\_\_\_

### **COMPANY**

1. Name: \_\_\_\_\_

2. Country of Registration: \_\_\_\_\_

3. Date of Registration: \_\_\_\_\_

4. Registration Number: \_\_\_\_\_

5. Nature of Business: \_\_\_\_\_

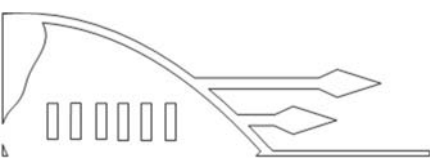
6. Physical address

Property Number and Street Name: \_\_\_\_\_

Residential Area: \_\_\_\_\_

City/Town/Village and Chief: \_\_\_\_\_

Country: \_\_\_\_\_



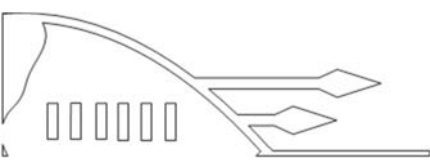


**PART C - PRODUCT AND TRANSACTION INFORMATION**

1. Account Number: \_\_\_\_\_
2. Products bought by the customer: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
3. Date investment started: DD/MM/YYYY- \_\_\_\_/\_\_\_\_/\_\_\_\_

**Financial Summary Details**

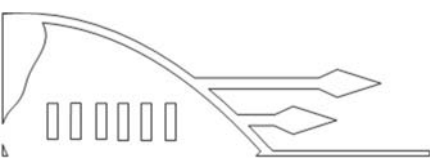
1. Date of transaction: \_\_\_\_\_
2. Transaction Type: \_\_\_\_\_
3. Value: \_\_\_\_\_
4. Bank account number: \_\_\_\_\_





**PART D - REASON FOR SUSPICION**

Please describe clearly and completely, the factors or unusual circumstances that led to the suspicion.



**PART E - SCHEDULE OF ATTACHMENTS**

Please list and attach all relevant documentation, including KYC documents, transaction records and anything else you may deem helpful for investigation purposes.

| <b><u>No.</u></b> | <b><u>Document title</u></b> |
|-------------------|------------------------------|
| 1.                |                              |
| 2.                |                              |
| 3.                |                              |
| 4.                |                              |
| 5.                |                              |
| 6.                |                              |
| 7.                |                              |
| 8.                |                              |
| 9.                |                              |
| 10.               |                              |

**ALL SUSPICIOUS TRANSACTIONS ARE TO BE TREATED AS CONFIDENTIAL**

Completed forms should be addressed to: THE DIRECTOR and sent to the SWAZILAND  
FINANCIAL INTELLIGENCE UNIT 6<sup>TH</sup> FLOOR CORPORATE PLACE, DR SISHAYI STREET,  
MBABANE

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**END OF REPORT**

**FOR OFFICIAL USE ONLY**

STR reference number: \_\_\_\_\_

Date: \_\_\_\_\_

